

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/180
(ROC920000312US1)SERIAL NO.
09/827,804INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT
Timothy Roy Block et al.Confirmation No.
6868FILING DATE
April 6, 2001GROUP
2621

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

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JUN 25 2001

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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

RC	A.R	U.S. Patent Application Serial No. 09/281,026, entitled "Cluster Node Distress Signal," filed on 3/30/99 by Block et al. (RO998-196). US 6442 713
RC	A.S	U.S. Patent Application Serial No. 09/481,177, entitled "Delivery of Configuration Changes in a Group Communication System," filed on 1/12/2000 by Funk et al. (IS999009). US 6493 715
RC	A.T	U.S. Patent Application Serial No. 09/638,328, entitled "Merge Protocol for Clustered Computer System," filed on 8/14/2000 by Miller et al. (ROC920000130US1).

EXAMINER

Rosen

DATE CONSIDERED

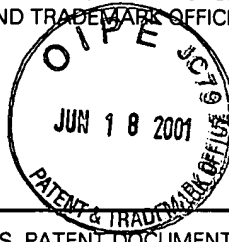
12/15/03

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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	B.A						
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	B.L						
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	B.N						
	B.O						
	B.P						
	B.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

RC	B.R	U.S. Patent Application Serial No. 09/697,398, entitled "Group Data Sharing During Membership Change in Clustered Computer System," filed on 10/27/2000 by Miller et al. (ROC920000129US1).
RC	B.S	U.S. Patent Application Serial No. 09/718,924, entitled "Apparatus and Method for Communicating Between Computer Systems Using a Sliding Send Window for Ordered Messages in a Clustered Computing Environment," filed on 11/22/2000 by Timothy R. Block. (ROC920000123).
RC	B.T	U.S. Patent Application Serial No. 09/809,408, entitled "Automated Node Restart in Clustered Computer System," filed on 3/15/2001 by Jennifer Anne Dervin et al. (ROC920000313US1).

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MHC

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000312US1SERIAL NO.
09/827,604APPLICANT:
Timothy R. Block et al.FILING DATE:
April 6, 2001GROUP:
2621

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
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	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Already considered in pap. no. 2	AR	U.S. Patent Application, Serial No. 09/809,408, entitled "Automated Node Restart in Clustered Computer System," filed on March 15, 2001 by Dervin et al. (ROC920000313US1)
	AS	U.S. Patent Application, Serial No. 09/920,470, entitled "Forwarding of Diagnostic Messages in a Group" filed on August 1, 2000 by Miller et al. (ROC920000311US1)
	AT	

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